

**TRANSCORP INTERNATIONAL LTD.**

5<sup>th</sup> Floor, Transcorp Towers,  
Moti Doongri Road,  
Jaipur-302004 (Rajasthan)  
Telephone: 2363888, 23639999  
Fax: 91-141-237 2066  
CIN:L51909DL1994PLC235697

Web-Site: [www.transcorpint.com](http://www.transcorpint.com)

E-mail: [corp@transcorpint.com](mailto:corp@transcorpint.com)

Date: 02.09.2022

To,

**The General Manager**

Department of Corporate Services,  
BSE Limited, 1<sup>st</sup> Floor, P.J. Towers,  
Dalal Street, Mumbai – 400 001

Ref.: Transcorp International Limited

Script Code: 532410

Subject: Application for In-principle approval for Employee Stock Option Plan 2017

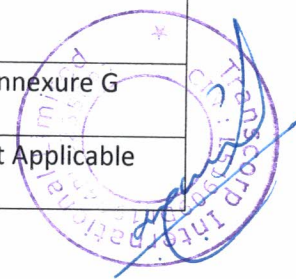
Dear Sir/Madam,

This is with reference to the application made by the Company for the In-principle approval for Employee Stock Option Plan 2017.

In this regard, the Company hereby submits the following documents as per your Checklist for In-principle approval under Regulation 28(1) of the SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015: -

S. No.	List of Documents/details to be submitted	Annexure
1.	Certified copy of Stock Option/Stock Purchase Scheme/ Stock Appreciation Rights Scheme/ General Employee Benefits Scheme/ Retirement Benefit Schemes certified by the Company Secretary.	Annexure A
2.	Certified copy of statement as specified in Part D of Schedule I to be filed with the Stock Exchange as required under Reg 10(b) SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021.	Annexure B
3.	Certified true copy of the notice of AGM/EGM for approving the Scheme/for amending the Scheme/for approving grants under Regulation 6 of the SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021, certified by the company secretary.	Annexure C
4.	Certified true copy of special resolution passed by the shareholders of the Company approving/ amending the Scheme	Annexure D
5.	Certificate of Secretarial Auditors on compliance with SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 as per Annexure I	Annexure E
6.	Certificate of Merchant Banker on compliance with SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 as per Annexure II	Annexure F
7.	List of Promoters as defined under the SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021.	Annexure G
8.	Specimen copy of Share certificate (where shares are issued in physical form)	Not Applicable

Regd Office: Plot No. 3, HAF Pocket, Sector 18A, Dwarka, New Delhi-110075



# TRANSCORP INTERNATIONAL LTD.

5<sup>th</sup> Floor, Transcorp Towers,  
Moti Doongri Road,  
Jaipur-302004 (Rajasthan)  
Telephone: 2363888, 23639999  
Fax: 91-141-237 2066  
CIN:L51909DL1994PLC235697

Web-Site: [www.transcorpint.com](http://www.transcorpint.com)

E-mail: [corp@transcorpint.com](mailto:corp@transcorpint.com)

9.	Confirmation from the Company as per Annexure III	Annexure H
10.	Undertakings as required under Part – D of Schedule – I of SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021, as per the format Annexure IV	Annexure I
11.	Reconciliation statement as per Annexure – V	Annexure J
12.	Certified true copy of irrevocable trust deed as required under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021, if the ESOP/ESPS are allotted through Trust route.	Not Applicable
13.	Processing fees of Rs.4,00,000/- per scheme, plus applicable GST, to be paid through Online Payment Gateway (via Net Banking Facility) in Listing Centre portal- Details given in Annexure III or through Cheque/DD favoring “BSE Limited”	Processing fees has been paid vide UTR No. AXIC222459918958 on 02.09.2022 through AXIS Bank
14.	We have made an application to Security Exchange Board of India (SEBI) vide Letter dated 01st September 2022 for condonation of delay in obtaining in-principal approval under Regulation 28 of SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021 for the third grant made on 29th October 2021 for 3,19,000 Equity share	Annexure K

Thanking you  
Yours faithfully

For Transcorp International Limited

  
Dilip Kumar Morwal  
Group Company Secretary

Place: Jaipur

Encl.: As above

To,  
Unified Business Commercial  
BSE Limited  
20<sup>th</sup> Floor, P.J. Towers,  
Dalal Street, Fort,  
Mumbai – 400 001

**Subject : Payment of fees for In-principal approval of ESOP-2017 of Transcorp International Limited**

<b>Company Code</b>	8834
<b>Company Name</b>	Transcorp International Ltd.
<b>Invoice No.</b>	-
<b>Reference No.</b>	-
<b>Total Amount</b>	4,72,000

**Following information to be filled in by the Company:**

<b>Fees Remitted</b>	for In-principal approval of ESOP-2017 of Transcorp International Limited (fees Amount 4,00,000) plus GST@18% Total Amount Rs. 4,72,000/- after deduction TDS of RS. 40,000/- Amount of Rs. 4,32,000/- has been remitted.		
<b>Amount of TDS *\$ (10%)</b>	40000/-		
<b>2% GST-TDS if Applicable (for PSUs Only)^</b>	<b>IGST</b>	<b>SGST</b>	<b>CGST</b>
	72000/-		
<b>Financial Year of TDS deduction</b>	FY 2022-23 (In case of any adjustment kindly confirm with the Exchange)		
<b>RTGS/NEFT UTR Ref No. &amp; date</b>	AXIC222459918958 Dated 02.09.2022 from Axis Bank through NEFT		
<b>Cheque No/DD No &amp; date</b>			

\* Company may please note that in case of TDS, failure of notification and failure to submit the TDS certificate and non-reflection of TDS in 26AS of BSE Limited, within the prescribed time as per the Income Tax Act will be treated as short payment of Fees by the Company and will attract an interest on the same

# TDS Adjustment if any for previous period should be done only after confirmation from the Exchange listing.alfdues@bseindia.com  
Any adjustment done without confirmation will be treated as short payment of fees and will attract an interest on the same.

\$ In case the TDS deducted pertains to any other year, other than current financial year, kindly intimate the same.

^ In case of GST-TDS deductions made by PSUs, kindly also provide GST certificate & details for the same viz., GST Number on which the GST-TDS will be filed by the company, address for the same.

**Instrument in name other than "BSE Limited" will be treated as non-payment of fees.**

**Contact Details:**

<b>Name of Authorized Person</b>	Dilip Kumar Morwal
<b>Telephone No.:</b>	9352558224
<b>Email ID :</b>	dilip@transcorpint.com

Authorized Signatory

**Notes:**

1. In case of RTGS/ NEFT, you are requested to send a soft copy of the Annexure III to listing.alfdues@bseindia.com
2. Please mention the Invoice Number on the Reverse of Cheque/ Demand Draft.